## **EXHIBIT A**

DOCKET NO.: 441-06/RD02036 US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in Re Application of:

Applicants: Leo Zhaoqing Liu and Christian Priou

Confirmation No.: 6545
Application No.: 10/607,079 Examiner: White, Everett NMN

Filing Date: June 25, 2003 Art Unit: 1623

For: GRAFTING POLYMERIZATION OF GUAR AND OTHER POLYSACCHARIDES BY

**ELECTRON BEAMS** 

## Declaration of Dr. Leo Zhaoging Liu

I, Leo Zhaoging Liu, declare as follows:

- I am an Inventor of United States Patent Application 10/807,079, filed June 25, 2003.
- 2. I obtained my Bachelor of Science and Master of Science degrees from Peking (Beijing) University. I obtained my Ph.D. in Chemistry from the University of British Columbia. I have been a research Assistant at the University of Saskatchewan, a Teaching and Research Assistant at the University of British Columbia, a Postdoctoral Research Pellow at McGill University and a Postdoctoral Research Scientist at the University of Toronto. From 1988-2001, I was employed by Rhodia Canada, Inc., as Senior Chemist. From 2001-2008, I was employed by Rhodia, Inc., initially as a Staff Scientist and then as Senior Staff Scientist. I am now Manager and Senior Staff Scientist in the Rhodia Research & Technology Center of Rhodia China Co., Ltd., located in Shanghai, China.
- I am familiar with United States Patent Application 10/607,079, including pending claims 21-28.

- Applicants' invention as claimed in claim 21 is to a method for grafting an unsaturated monomer onto a polysaccharide comprising the steps of:
  - forming a mixture comprised of an unsaturated monomer and a water soluble or water dispensible polysaccharide;
  - (2) drying the mixture; and
  - (3) irradiating the mixture with an amount of electron beam radiation sufficient to form an unsaturated monomer-water soluble or water dispersible polysaccharide graft copolymer, wherein the graft copolymer is depolymerized to a molecular weight lower than the molecular weight of the ungrafted polysaccharide, and the copolymer has a molecular weight of no more than 700 000 Dattons.
- The remaining claims 22-28 are also directed to the method claimed in claim 21.
- Included in the claimed method is a step 2 of drying the mixture after the step of forming the mixture and before the step of irradiating the mixture.
- Several paragraphs in the Application disclose the drying step and the occurrence of the drying step after the step of forming the mixture and before the step of irradiating the mixture.
- 8 This disclosure is provided in paragraph [047] which reads as follows: Guar powder was suspended in accessor, and then mixed with either very phosphonic acid (VPA) or methacrylamidopropylitimethylaminnium choincie (MaPTAC) solution at a 101 ratio of guar to the respective monomer. The mixture was then dried in a vacuum and put into a plastic vial with its weight within the pencitation range of the electron beam. The samples were then placed on a tray carried by an endless conveyor that a radiation chamber. The samples were irradiated by electron beam generated by 4.5 MeV generator operating at a 15 milliamps beam current at the top aurtiaco of the tray. The deelred dose was obtained by adjusting the linear velocity of the conveyor.
- Support for the drying step, prior mixing step and subsequent irradiation step is also provided by paragraph [052] which reads as follows: Hydroxypropyl guar, available from Rhodia, inc., in Crambury, New Jemsey, as Jaguar 8000, 50 parts was mixed with methacylamidopropylitimethylammonium chloride (MAPTAC, 50% in

- 10. Additional support is provided by paragraph [055] which reads as follows: Hydroxyethylostulose, available from Dow as Cellosize HEC OP 100M-H was sprayed with 90% MAPTAC solution at the radiac of the active components shown in Tailei 4, and then throughly mixed. The MAPTAC-availor callutions was then air-dried and ground in to powder one sy hermaling. The Irradiation and the post-treatment wave done according to the procedure described in Example 2 with the dose shown in Taile 4. The residual MAPTAC was measured by HPLC analysis after the irradiation (Table 4) and allow further treatment (Table 5). The molecular weight was determined for selective samples (Table 6). Utilior on homopolymer of MAPTAC was detected by the GPC analysis. The grafted polymer was isolated from aqueous methanol solution by precipitating with acolone. Colloid stration of the isolated polymer indicated more than 85% for the MAPTAC was attached to hydroxyethylostulouse.
- Paragraphs [047], [052], and [055] clearly disclose a drying step (2) between the formation of the mixture in step (1) and the irradiation of the mixture in step (3).
- 12. I have conducted a series of tests to determine more precisely the effect of the drying step on the method disclosed and claimed in my application. These tests included a drying step in which the initial mixture, produced according to step 1 of the claimed method, was dried to moisture contents of between about 0.74% and 30.2% according to step 2 of the claimed method. The dried mixtures were treated according to step 3 of the claimed process and were measured in terms of the percentage conversion of the mixture to the graft copolymer at different concentrations of electron bearn radiation. The data obtained in these tests are set forth in Tables 1-3 which includes a three dimensional graph of the data for each of Tables 1-3. The graphs show for each test the moisture content, the dose of electron beam radiation, and the rate of conversion of the mixture into a polysaccharide graft copolymer.

- 13. On the basis of the results submitted in Tables 1-3, I determined that for lower doses of electron beam radiation the optimum range for drying the mixture is to a molisture content of 5-20%. Drying the mixture to 30% moisture content at which the dried mixture felt dry still improved the efficiency of the claimed method. The drying step resulted in a higher rate of conversion of the mixture into a polysaccharide graft copolymer. The absence of a drying step also had the disadvantage that a greater amount of electron beam radiation was needed to accomplish the formation of the polysaccharide graft copolymer to the same degree. In addition, the product resulting from the drying step has the advantage that it is generally easier to handle then the product without the drying step.
- 14. Drying the mixture to a moisture content below 5% will still improve the efficiency of the claimed method. It should be noted that excessive drying, i.e. so that the material contained no moisture, was neither necessary nor desirable as the completely dry mixture could pose a hazzard if further subjected to electron beam radiation.

i hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 19 of the United States Code, and that such false statements may jeopardize the validity of Application No. 10/607,079 or any patent issued thereon.

Dated: June 3, 2009

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	98.7	76.08	71.61	47.1	30.02	71.05	58.33	17.97	12.26	4.34	0.41	6.23	2.5	1.3	0.251	0.093	0.0948	7.89	2.6	0.378	0.133	0.189	0.057	8.51	4.34	0.577	0.133	0.0283	0.065
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